
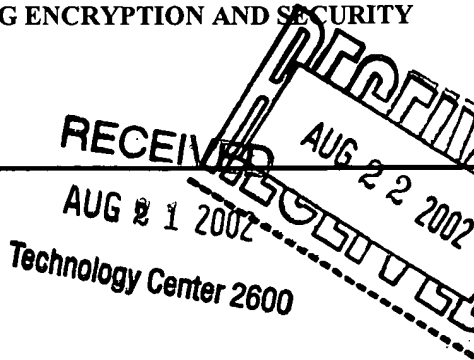


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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 3960.D16 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------------------------|------------------------|
| In Re Application Of: John S. HENDRICKS | | | |
| Serial No. 09/964,882 | Filing Date September 28, 2001 | Examiner n/a | Group Art Unit 2611 |
| Title: ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING ENCRYPTION AND SECURITY FEATURES | | | |
|  | |  | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | | |
| 37 CFR 1.97(b) | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | |
| 37 CFR 1.97(c) | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | |
| OR | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | |

Best Available Copy

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3960.D16

In Re Application: **John S. HENDRICKS**

Serial No.
09/964,882

Filing Date
September 28, 2001

Examiner
n/a

Group Art Unit
2611

ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING ENCRYPTION AND FEATURES



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Signature

Dated: August, 20 2002

Sung Tae Kim, Reg. No. 45,398
Dorsey & Whitney LLP
1660 International Drive
Suite 400
McLean, VA 22102
Tel. (703) 288-5240
Fax (703) 288-5260

CC:

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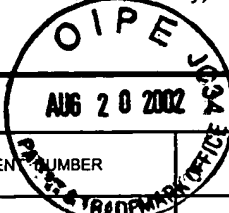
John S. HENDRICKS

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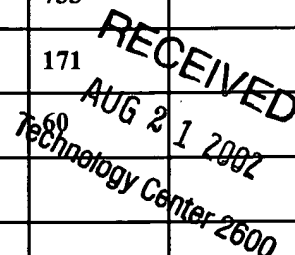
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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 1. | 5,917,915 | 6/29/99 | Hirose | 380 | 228 | |
| | 2. | 6,052,717 | 4/18/00 | Reynolds, et al. | 709 | 218 | |
| | 3. | 6,034,680 | 3/7/00 | Kessenich, et al. | 345 | 733 | |
| | 4. | 5,341,426 | 8/23/94 | Barney, et al. | 713 | 171 | |
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| | | | | | | | YES | NO |
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| | 2. | WO 97/22110 | 6/19/1997 | WIPO | | | | |
| | 3. | WO 97/22067 | 6/19/1997 | WIPO | | | | |
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| | 5. | WO 97/22102 | 6/19/1997 | WIPO | | | | |

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | ATTY DOCKET NO. 3960.D16 | | SERIAL NO. 09/964,882 | |
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| | | | | | | | YES | NO |
| | 11. | WO 97/22109 | 6/19/1997 | WIPO | | | | |
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| | 14. | WO 97/22049 | 6/19/1997 | WIPO | | | | |
| | 15. | WO 97/22100 | 6/19/1997 | WIPO | | | | |

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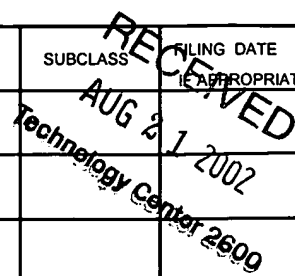
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| 16. | | WO 97/22099 | 6/19/1997 | WIPO | | | | |
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| 20. | | WO 97/22101 | 6/19/1997 | WIPO | | | | |

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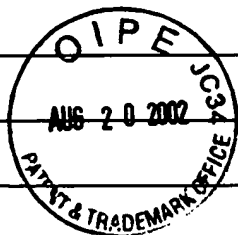
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| | 21. | WO 97/22080 | 6/19/1997 | WIPO | | | | |
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| | 23. | WO 97/22062 | 6/19/1997 | WIPO | | | | |
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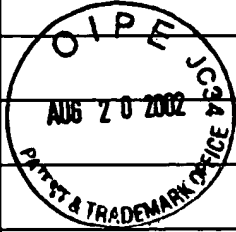
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| | | WO 98/02836 | 1/22/98 | WIPO | | | ✓ | |
| | | WO 98/08344 | 2/26/98 | WIPO | | | | |
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